

DE-AC52-06NA25946

Modification M003

Attachment C

SECTION J

APPENDIX C – LIST OF APPLICABLE LAWS, REGULATIONS AND DOE DIRECTIVES

PART III – SECTION J**APPENDIX C - LIST OF APPLICABLE LAWS, REGULATIONS, AND DOE DIRECTIVES**

This appendix incorporates NNSA/NSO complex-wide Work Smart Standards (WSS) in this Contract. These requirements are included in the contract by reference to the WSS, including the additional lists of DOE Directives for certain work as maintained on the NNSA/NV Internet site <http://www.nv.doe.gov> and Intranet Home Page <http://nvhome>

In accordance with Section I Clause entitled “Laws, Regulations, and DOE Directives (DEC 2000 Deviation),” this appendix incorporates NNSA/NSO Approved Work Smart Standards Set Documentation Format 1 Document. This document includes:

List A – U.S. DOE NNSA/NSO Approved Work Smart Standards

In addition to the list of current directives referenced above, the Contractor shall also comply with supplementary directives (e.g., manuals) which are invoked by a Contractor Requirements Document attached to the directives referenced below.

DIRECTIVE NUMBER	DATE	DOE DIRECTIVE TITLE
DOE O 110.3	11/3/99	Conference Management
DOE O 130.1	9/29/95	Budget Formulation
DOE M 140.1-1B	3/30/01	Interface with the Defense Nuclear Facilities Safety Board
DOE P 141.1	05/02/01	DOE Management of Cultural Resources
DOE O 142.3	6/18/04	Unclassified Foreign Visits and Assignments
DOE O 151.1B	10/29/03	Comprehensive Emergency Management System
DOE N 153.2	8/11/03	Connectivity to National Atmospheric Release Advisory Center (NARAC)
DOE O 200.1	9/30/96	Information Management Program
DOE M 200.1-1	3/01/97	Telecommunications Security Manual and Chapter 9, Public Key Cryptography and Key Management, Approved 2/15/00
DOE O 203.1	10/02/00	Software Quality Assurance
DOE O 205.1	3/21/03	Department of Energy Cyber Security Management Program
DOE N 205.2	11/1/99 (DOE N 205.14 extends DOE N 205.2 until 8/12/05)	Foreign National Access to DOE Cyber Systems

DIRECTIVE NUMBER	DATE	DOE DIRECTIVE TITLE
DOE N 205.3	11/23/99 (DOE N 205.14 extends DOE N 205.3 until 8/12/05)	Password Generation, Protection, and Use
DOE O 221.1	3/22/01	Reporting Fraud, Waste, and Abuse to the Office of Inspector General
DOE O 221.2	3/22/01	Cooperation with the Office of Inspector General
DOE O 224.2	3/22/01	Auditing of Programs and Operations
DOE O 225.1A	11/26/97	Accident Investigations
DOE G 231.1-1	8/20/03	Occurrence Reporting and Performance Analysis Guide
DOE G 231.1-2	8/20/03	Occurrence Reporting Causal Analysis Guide
DOE O 231.1A, Change 1	6/03/04	Environment, Safety, and Health Reporting
DOE M 231.1-1A, Change 1, dated 9/9/04	3/19/04	Environment, Safety, and Health Reporting Manual
DOE M 231.1-2	8/19/03	Occurrence Reporting and Processing of Operations Information
DOE G 241.1-1A	11/23/01	Guide to the Management of Scientific and Technical Information
DOE O 241.1A, Change 1	10/14/03	Scientific and Technical Information Management
DOE O 251.1A	1/30/98	Directives System
DOE O 252.1	11/19/99	Technical Standards Program
DOE O 311.1B	2/12/03	Equal Employment Opportunity and Diversity Program
DOE O 350.1, Change 1, dated 5/8/98	9/30/96	Contractor Human Resource Management Programs
DOE O 350.2A	10/29/03	Use of Facility Contractor Employees for Services to DOE in the Washington, D.C., Area
DOE M 360.1-1B	10/11/01	Federal Employee Training Manual
DOE O 412.1	4/20/99	Work Authorization System
DOE O 413.1A	4/18/02	Management Control Program
DOE O 413.3	10/13/00	Program & Project Management for the Acquisition of Capital Assets
DOE O 414.1B	4/29/04	Quality Assurance
DOE O 420.1A	5/20/02	Facility Safety
DOE O 420.2B	7/23/04	Safety of Accelerator Facilities
DOE O 425.1C	3/13/03	Startup and Restart of Nuclear Facilities

DIRECTIVE NUMBER	DATE	DOE DIRECTIVE TITLE
DOE P 430.1	7/9/96	Land and Facility Use Planning
DOE O 430.1B	9/24/03	Real Property Asset Management
DOE O 430.2A	4/15/02	Departmental Energy and Utilities Management
DOE O 433.1	6/1/01	Maintenance Management Program for DOE Nuclear Facilities
DOE O 435.1, Change 1, dated 8/28/01	7/9/99	Radioactive Waste Management
DOE M 440.1-1	9/30/95	DOE Explosives Safety Manual
DOE G 440.1-5	9/30/95	Fire Safety Program for use with DOE O 420.1 and DOE O 440.1
DOE O 440.1A	3/27/98	Worker Protection Management for DOE Federal and Contractor Employees
DOE O 440.2B	11/27/02	Aviation Management and Safety
DOE O 442.1A	6/6/01	Department of Energy Employee Concerns Program
DOE O 443.1	5/15/00	Protection of Human Subjects
DOE O 450.1	1/15/03	Environmental Protection Program
DOE M 450.3-1	1/25/96	DOE Closure Process for Necessary and Sufficient Sets of Standards
DOE G 450.3-1	2/01/97	Documentation for Work Smart Standards Applications: Characteristics and Considerations
DOE P 450.5	6/26/97	Line Environment, Safety, and Health Oversight
DOE N 450.7	10/17/01 (DOE N 450.13 extends DOE N 450.7 until 6/30/05)	The Safe Handling, Transfer, and Receipt of Biological Etiologic Agents at Department of Energy Facilities
DOE O 452.1B	8/6/01	Nuclear Explosive and Weapon Surety Program
DOE O 452.2B	8/7/01	Safety of Nuclear Explosive Operations
DOE M 452.4-1A	3/11/04	Protection of Use Control Vulnerabilities and Design
DOE O 452.4A	12/17/01	Security and Control of Nuclear Explosives and Nuclear Weapons
DOE O 460.1B	4/4/03	Packaging and Transportation Safety
DOE O 460.2 Change 1, dated 10/26/95	9/27/95	Departmental Materials Transportation & Packaging Management
DOE M 460.2-1	9/23/02	Radioactive Material Transportation Practices
DOE O 461.1A	4/26/04	Packaging and Transfer or Transportation of Materials of National Security Interest
DOE P 470.1	5/08/01	Integrated Safeguards and Security Management (ISSM) Policy

DIRECTIVE NUMBER	DATE	DOE DIRECTIVE TITLE
DOE O 470.1, Change 1, dated 6/21/96	9/28/95 (DOE N 251.57 extends DOE O 470.1 until 4/28/05)	Safeguards and Security Program
DOE O 470.2B	10/31/02	Independent Oversight and Performance Assurance Program
DOE O 470.3	10/18/04	Design Basis Threat Policy (U)
DOE M 470.1-1	10/2/02	Safeguards and Security Awareness Program
DOE M 471.1-1, Change 1	10/23/01	Identification and Protection of Unclassified Controlled Nuclear Information Manual
DOE O 471.1A	6/30/00 (DOE N 251.58 extends DOE O 471.1A until 6/30/05)	Identification and Protection of Unclassified Controlled Nuclear Information
DOE O 471.2A	3/27/97 (DOE N 251.57 extends DOE O 471.2A until 4/28/05)	Information Security Program
DOE M 471.2-1B	1/6/99	Classified Matter Protection & Control Manual (<i>this Manual has been cancelled by DOE M 471.2-1C, with the exception of Chapter III, paragraphs 1 and 2</i>)
DOE M 471.2-1C, Change 1	7/14/04	Classified Matter Protection & Control Manual
DOE M 471.2-2	8/3/99	Classified Information Systems Security Manual ¹ (<i>except Chapter VI, paragraphs 4j(2) and 4j(6); and Chapter VII, paragraph 12a(2)(a) cancelled per DOE N 205.3; and Chapter III, Section 8, cancelled per DOE N 205.4</i>)
DOE M 471.2-3A	7/11/02	Special Access Program Policies, Responsibilities, and Procedures ²
DOE O 471.3	4/9/03	Identifying and Protecting Official Use Only Information
DOE M 471.3-1	4/9/03	Manual for Identifying and Protecting Official Use Only Information
DOE G 471.3-1	4/09/03	Guide to Identifying Official Use Only Information
DOE N 471.4	03/17/04	Incidents of Security Concern
DOE M 472.1-1B	7/12/01	Personnel Security Program Manual

¹ Full implementation will occur in accordance with NNSA/NSO's approved Implementation Plan.

² Implementation of this Manual predicated on the continuance of direct funding in the future.

DIRECTIVE NUMBER	DATE	DOE DIRECTIVE TITLE
DOE O 472.1C	3/25/03	Personnel Security Activities
DOE O 473.1	12/23/02 (DOE O 473.1, extended by DOE N 251.58 , dated 7/6/04)	Physical Protection Program
DOE M 473.1-1	12/23/02	Physical Protection Program Manual
DOE O 473.2	6/30/00	Protective Force Program
DOE M 473.2-1A	1/17/02	Firearms Qualification Courses Manual
DOE M 473.2-2, Change 1	12/20/02	Protective Force Program Manual
DOE N 473.9	7/8/04	Security Conditions
DOE O 474.1A	11/20/00	Control and Accountability of Nuclear Materials
DOE M 474.1-1B	6/13/03	Manual for Control and Accountability of Nuclear Materials
DOE M 474.1-2A	8/19/03	Nuclear Materials Management and Safeguards System Reporting and Data Submission
DOE M 475.1-1A	2/26/01	Identifying Classified Information
DOE M 481.1-1A	9/28/01	Reimbursable Work for Non-Federal Sponsors Process Manual
DOE O 481.1B	9/28/01	Work for Others (Non-Department of Energy Funded Work)
DOE N 481.1A	4/21/03 (DOE N 251.56, dated 4/20/04, extends this directive until canceled)	Reimbursable Work for Department of Homeland Security
DOE O 482.1	1/12/01	DOE Facilities Technology Partnering Programs
DOE O 483.1	1/12/01	DOE Cooperative Research & Development Agreements
DOE O 534.1B	1/6/03	Accounting
DOE O 551.1B	8/19/03	Official Foreign Travel
DOE O 1220.1A, Change 1, dated 6/28/92	4/9/92	Congressional & Intergovernmental Affairs
DOE O 5400.5, Change 2, dated 1/7/93	2/8/90	Radiation Protection of the Public & the Environment (<i>except 1a(3)(a) of Chapter II cancelled per DOE O 231.1</i>)

DIRECTIVE NUMBER	DATE	DOE DIRECTIVE TITLE
DOE O 5480.4, Change 4, dated 1/7/93	5/15/84	Environmental Protection Safety & Health Protection Standards (<i>except Attachment 2, paragraphs 2c, 2d(2)-(3), 2e(1)-(8) and Attachment 3, paragraphs 2c, 2d(2)-(3), and 2e(1)-(7) cancelled per DOE O 440.1 dated 9/30/95</i>)
DOE O 5480.19, Change 2, dated 10/23/01	7/9/90	Conduct of Operations Requirements for DOE Facilities
DOE O 5480.20A, Change 1, dated 7/12/01	11/15/94	Personnel Selection, Qualification, and Training Requirements for DOE Nuclear Facilities
DOE O 5480.30, Change 1, dated 3/14/01	1/19/93	Nuclear Reactor Safety Design Criteria
DOE O 5530.1A	9/20/91	Accident Response Group
DOE O 5530.2	9/20/91	Nuclear Emergency Search Team
DOE O 5530.3, Change 1, dated 4/10/92	1/14/92	Radiological Assistance Program
DOE O 5530.4	9/20/91	Aerial Measuring System
DOE O 5530.5, Change 1, dated 12/2/92	7/10/92	Federal Radiological Monitoring & Assessment Center
DOE O 5610.2, Change 1, dated 9/2/86	8/1/80	Control of Weapons Data
DOE O 5660.1B	5/26/94	Management of Nuclear Materials
DOE O 5670.1A	1/15/92	Management and Control of Foreign Intelligence
DOE O 5670.3	9/4/92	Counterintelligence Program
NAP-5	10/16/02	Policy Letter for Standards Management (This Policy replaces DOE P 450.3, Authorizing Use of the Necessary and Sufficient Process for Standards Based Environment, Safety, and Health Management)
AL 2002-06	8/14/02	Domestic and Foreign Procurement Rules

